

PTO/SB/01 (08-03)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) <input type="checkbox"/> Declaration Submitted OR with Initial Filing <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge) (37 CFR 1.16 (e)) required	Attorney Docket Number	PG4798	
	First Named Inventor	Richard BELL	
	<i>COMPLETE IF KNOWN</i>		
	Application Number		
	Filing Date		
	Art Unit		
	Examiner Name		

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

PHENYLALKENIOLIC ACID AND PHENYLOXYALKANOIC ACID DERIVATIVES AS HPPAR ACTIVATORS

(Title of the invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on 06/18/2003 (MM/DD/YYYY) as United States Application Number or PCT International Application Number PCT/EP2003/008415 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES NO
0214149.7	GB	06/19/2002	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02/B attached hereto:

[Page 1 of 4]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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DECLARATION -- Utility or Design Patent Application			
Direct all correspondence to: <input checked="" type="checkbox"/> Customer Number 23347 OR <input type="checkbox"/> Correspondence address below			
Name			
Address			
City		State	ZIP
Country	Telephone Robert H Brink - 919-483-3323		Fax 919-483-7988
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.			
NAME OF SOLE OR FIRST INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any]) Richard		Family Name Or Surname BELL	
Inventor's Signature <i>X R. Bell</i>		Date <i>X 21 JAN 2005</i>	
Residence: City Stevenage	State Hertfordshire	Country GB	Citizenship GB
Mailing Address GlaxoSmithKline, Corporate Intellectual Property-Mai B475 Five Moore Drive, P O Box 13398			
City Research Triangle Park	State North Carolina	ZIP 27709	Country US
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any]) Paul John		Family Name Or Surname BESWICK	
Inventor's Signature <i>X P.J. Be</i>		Date <i>X 6 Jun 2005</i>	
Residence: City Harlow	State Essex	Country GB	Citizenship GB
Mailing Address GlaxoSmithKline, Corporate Intellectual Property-Mai B475 Five Moore Drive, P O Box 13398			
City Research Triangle Park	State North Carolina	ZIP 27709	Country US
<input checked="" type="checkbox"/> Additional inventors are being named on the TWO supplemental Additional Inventor(s) sheet(s) PTO/SB/025 or 02LR attached hereto			

PTO/SB/02A (08-03)

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DECLARATION		ADDITIONAL INVENTOR(S) Supplemental Sheet Page 3 of 4	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Romain Luc Marie		GOSMINI	
Inventor's Signature		Date <i>14 feb 2005</i>	
Residence: City Les Uils	State	Country FR	Citizenship FR
Mailing Address GlaxoSmithKline, Corporate Intellectual Property-Mal B475 Five Moore Drive, P O Box 13398			
City Research Triangle Park	State North Carolina	ZIP 27709	Country US
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor.	
Given Name (first and middle [if any])		Family Name or Surname	
Richard Martin		GRIMES	
Inventor's Signature		Date <i>25 Jan 2005</i>	
Residence: City Stevenage	State Hertfordshire	Country GB	Citizenship GB
Mailing Address GlaxoSmithKline, Corporate Intellectual Property-Mal B475 Five Moore Drive, P O Box 13398			
City Research Triangle Park	State North Carolina	ZIP 27709	Country US
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Christopher Charles Frederick		HAMLETT	
Inventor's Signature		Date <i>25 Nov 2004</i>	
Residence: City Stevenage	State Hertfordshire	Country GB	Citizenship GB
Mailing Address GlaxoSmithKline, Corporate Intellectual Property-Mal B475 Five Moore Drive, P O Box 13398			
City Research Triangle Park	State North Carolina	ZIP 27709	Country US

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.83. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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DECLARATION		ADDITIONAL INVENTOR(S) Supplemental Sheet Page 4 of 4	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Nigel Paul		KING	
Inventor's Signature <i>X N. Paul King</i>		Date <i>X 10th Jan 2005</i>	
Residence: City Harlow	State Essex	Country GB	Citizenship GB
Mailing Address GlaxoSmithKline, Corporate Intellectual Property-Mai B475 Five Moore Drive, P O Box 13398			
City Research Triangle Park	State North Carolina	ZIP 27709	Country US
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Vipulkumar Kantibhai		PATEL	
Inventor's Signature <i>X Vipulkumar Kantibhai</i>		Date <i>X 21st Jan 2005</i>	
Residence: City Stevenage	State Hertfordshire	Country GB	Citizenship GB
Mailing Address GlaxoSmithKline, Corporate Intellectual Property-Mai B475 Five Moore Drive, P O Box 13398			
City Research Triangle Park	State North Carolina	ZIP 27709	Country US
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	ZIP	Country

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PTO/SB/81 (06-04)

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U.S. Patent and Trademark Office: US DEPARTMENT OF COMMERCE

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POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM	Application Number	PCT/EP2003/006415
	Filing Date	18 June 2003
	First Named Inventor	Richard BELL
	Title	PHENYLALKENIOLIC ACID AND PHENYLOXYALKANOIC ACID DERIVATIVES AS HPPAR ACTIVATORS
	Art Unit	
	Examiner Name	
	Attorney Docket Number	PG4798

I hereby appoint:

☒ Practitioners associated with the Customer Numbers. 23347

Or

☐ Practitioner(s) named below:

Name	Registration Number

As my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☐ The address associated with the above-mentioned Customer Number:

Or

☒ The address associated with Customer Number 23347

Or

☐ Firm or Individual Name:

Address:	
Address:	
City:	State: Zip:
Country:	
Telephone:	Fax:

I am the:

☐ Applicant/Inventor:

☒ Assignee or record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee 18 FEB 2005

Signature: [Signature] Date: 18 FEB 2005

Name: Peter John GIDDINGS Telephone: +44 20 8047 4414

Title and Company: Attorney and Authorised Official, SmithKline Beecham Corporation

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of: forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is essential to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commission for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/81 (06-04)

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: SmithKline Beecham Corporation

International Application No./Patent No.: PCT/EP2003/006415 Filed/Issue Date: 18 June 2003

Entitled: PHENYLALKENIOLIC ACID AND PHENYLOXYALKANOIC ACID DERIVATIVES AS HPPAR ACTIVATORS

SmithKline Beecham Corporation, a corporation, states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ % in the patent application/patent identified above by virtue of either;

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 015825, Frame 0047, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee

18 FEB 2005


Signature

Date

Peter John GIDDINGS
Printed or Typed Name

+44 20 8047 4414
Telephone Number

Attorney and Authorised Official
Title

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is essential to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commission for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Power of Attorney

BY THIS POWER OF ATTORNEY given this 14th day of September two thousand and four **SMITHKLINE BEECHAM CORPORATION**, a company incorporated in Pennsylvania (Tax Identification No. 23-1099050) and having its registered office at One Franklin Plaza, Philadelphia, Pennsylvania 19101, United States of America, (hereinafter called "the Company"), **HEREBY** appoints all and any of its Directors, Secretary and Assistant Secretary for the time being, and **DAVID ROBERTS, PETER JOHN GIDDINGS, ARTHUR WILLIAM RUSSELL TYRRELL, HUGH BAINFORDE DAWSON, BRIAN JOHN RUSSELL, HELEN QUILLIN, CHARLES KINZIG, STEPHEN VENETIANER, DAVID J. LEVY, CHARLES E. DADSWELL, MARY E. MCCARTHY, THEODORE R. FURMAN and EDWARD R. GIMMI** jointly and severally to be its true and lawful agents and attorneys (hereinafter called "the Attorneys") on behalf and in the name of the Company or otherwise to do, perform, exercise or execute or concur with any other person or persons in doing, performing or exercising in or for any country or countries or jurisdiction in any part of the world all or any of the following powers, acts, deeds and things in connection with: letters patent, including extensions thereto; utility models; copyrights; trademark registrations; trademarks; trade names; trade dress; logos; design rights; designs and all rights analogous thereto and all applications therefor and any other forms whatsoever of intellectual property rights; including know-how, all of which are hereinafter called "Intellectual Property Rights", that is to say:

1. In any country or countries or jurisdiction in any part of the world to make application or cause application to be made for the grant or issue or transfer to the Company or registration in its name of Intellectual Property Rights and to take all steps necessary for the same to be prosecuted, maintained, withdrawn, renewed, enforced, defended or extended.
2. As the act and deed of the Company to sign, seal, deliver and execute all or any assignments or assurances, licences to the Company of or under any Intellectual Property Rights or the right to and interest in any inventions to be the subject of Intellectual Property Rights for the purpose of fully and effectually vesting and transferring the same in and to the Company.
3. As the act and deed of the Company to sign and execute all or any assignments and acceptances of the transfer or assignment of such rights, and also any licences, sub-licences and consents from the Company of or under any Intellectual Property Rights or the right to and interest in any invention to be the subject of Intellectual Property Rights, for the purpose of fully and effectually vesting transferring or granting the same in and to any entity, whether in the United Kingdom or elsewhere, in so far as such documents can be executed without the Company's seal being affixed thereto. For purposes of this Power of Attorney, the terms "entity" means, and includes, any person, firm or company or group of persons or unincorporated body.
4. To give undertakings or assurances to third parties and to any Trademark Registry or official intellectual property agency or governmental department or otherwise responsible for the registration or protection of trademarks, trade names, trade dress, logos, design rights or designs for the purpose of best

protecting or ensuring the co-existence of the Company's rights to trademarks, trade names, trade dress, logos, design rights or designs.

5. To commence, prosecute and defend any proceedings or applications whether judicial or extra judicial relating to Intellectual Property Rights and to maintain, withdraw or settle the same.
6. For and in connection with any Intellectual Property Rights to sign, seal, deliver and execute any Power of Attorney or other deed or document authorising any agent, including trademark and patent agents and attorneys, to act on behalf of the Company.
7. To apply for the registration, amendment or cancellation of user rights in respect of any trademark or trade name.
8. To act in regard to all official communications which may now or hereafter be addressed to the Attorneys relating to Intellectual Property Rights or the renewal thereof in such manner that the Attorneys may be recognised as the authorised agent(s) of the Company in all proceedings in relation thereto.
9. For all or any of the purposes contained herein as the act and deed of the Company to sign, seal, deliver, execute and do all such documents, deeds, agreements, instruments and to do such acts as shall be requisite or may be deemed proper for or in relation to the said purposes.
10. This Power of Attorney shall expire on December 31, 2005.

AND THE COMPANY HEREBY RATIFIES and confirms and agrees to ratify and confirm all and whatsoever the Attorneys or any person, persons, firm or company appointed by them shall lawfully do or have done by virtue of the authorities herein contained.


AND THE COMPANY HEREBY DECLARES that all instruments executed under and by virtue of this Power shall be as valid and effectual as if sealed by the Common Seal of the Company.

IN WITNESS whereof **SMITHKLINE BEECHAM CORPORATION** has caused its Common Seal to be hereunto affixed the day and year first before written.

The **COMMON SEAL** of
SMITHKLINE BEECHAM CORPORATION
was hereto affixed in the presence of:

)
)
)

[seal]



Donald F. Parman
Vice President and Secretary

PTO/SB/01 (08-03)

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DECLARATION – Utility or Design Patent ApplicationDirect all correspondence to: ☒ Customer Number 23347 OR ☐ Correspondence address below.

Name

Address

City

State

ZIP

Country

Telephone

Robert H Brink – 919-483-3323

Fax

919-483-7988

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name

(first and middle [if any])

Richard

Family Name

Or Surname

BELL

Inventor's Signature

X R. Bell

Date

X 21 JAN 2005

Residence: City

Stevenage GBN.

State

Hertfordshire

Country

GB

Citizenship

GB

Mailing Address

GlaxoSmithKline, Corporate Intellectual Property-Mai B475
Five Moore Drive, P O Box 13398

City

Research Triangle Park

State

North Carolina

ZIP

27709

Country

US

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name

(first and middle [if any])

Paul John

Family Name

Or Surname

BESWICK

Inventor's Signature

X P.J. Be

Date

X 6 Jun 2005

Residence: City

Harlow GBN.

State

Essex

Country

GB

Citizenship

GB

Mailing Address

GlaxoSmithKline, Corporate Intellectual Property-Mai B475
Five Moore Drive, P O Box 13398

City

Research Triangle Park

State

North Carolina

ZIP

27709

Country

US☒ Additional inventors are being named on the TWO supplemental Additional Inventor(s) sheet(s) PTO/SB/02S or 02LR attached hereto


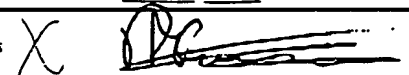
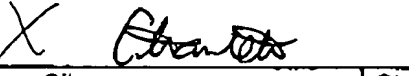
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PTO/SB/02A (08-03)

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DECLARATION		ADDITIONAL INVENTOR(S) Supplemental Sheet Page 3 of 4	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
<u>Romain Luc Marie</u>		<u>GOSMINI</u>	
Inventor's Signature 		Date <u>14 feb 2005</u>	
Residence: City <u>Les Ulis</u> <u>FRX</u>	State	Country <u>FR</u>	Citizenship <u>FR</u>
Mailing Address GlaxoSmithKline, Corporate Intellectual Property-Mal B475 Five Moore Drive, P O Box 13398			
City <u>Research Triangle Park</u>	State <u>North Carolina</u>	ZIP <u>27709</u>	Country <u>US</u>
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor.	
Given Name (first and middle (if any))		Family Name or Surname	
<u>Richard Martin</u>		<u>GRIMES</u>	
Inventor's Signature 		Date <u>25 Jan 2005</u>	
Residence: City <u>Stevenage</u> <u>GBN.</u>	State <u>Hertfordshire</u>	Country <u>GB</u>	Citizenship <u>GB</u>
Mailing Address GlaxoSmithKline, Corporate Intellectual Property-Mal B475 Five Moore Drive, P O Box 13398			
City <u>Research Triangle Park</u>	State <u>North Carolina</u>	ZIP <u>27709</u>	Country <u>US</u>
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
<u>Christopher Charles Frederick</u>		<u>HAMLETT</u>	
Inventor's Signature 		Date <u>25 Nov 2004</u>	
Residence: City <u>Stevenage</u> <u>GBN.</u>	State <u>Hertfordshire</u>	Country <u>GB</u>	Citizenship <u>GB</u>
Mailing Address GlaxoSmithKline, Corporate Intellectual Property-Mal B475 Five Moore Drive, P O Box 13398			
City <u>Research Triangle Park</u>	State <u>North Carolina</u>	ZIP <u>27709</u>	Country <u>US</u>

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PTO/SB/02A (08-09)

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